

INTRODUCTION: Saint Louis University's Conflict of Interest in Research Policy requires disclosure of financial interests for faculty, staff, students, subcontractors, consultants, collaborators and all others engaged in externally sponsored programs. In academic research institutions, conflicts of interest are not uncommon, and the existence of a financial conflict does not in any way indicate wrongdoing. However, a real or perceived financial conflict of interest may compromise professional judgment or integrity on the part of the conflicted individual. Therefore, financial conflicts of interest must be managed, reduced, or eliminated. Saint Louis University's Financial Conflict of Interest in Research Policy is designed to comply with federal regulations and at the same time safeguard the integrity of the institution and its researchers and collaborators.

SLU's policy requires that all individuals participating in externally sponsored programs complete Conflict of Interest in Research Disclosure Forms annually and within 30 days of the time at which a financial conflict arises or the status of a previously filed disclosure changes. Full disclosure is key to handling potential conflicts so that SLU's Conflict of Interest in Research Committee can work with individuals to develop, implement, and monitor an appropriate management plan.

A Significant Financial Interest (SFI) exists when the following conditions are present *on the individual or when aggregated with the interests of his/her spouse and dependent children:*

- Any combined income, compensation or stock in excess of \$5,000 from a publicly-traded or non-publicly traded entity;
- Any amount of equity (stock, stock options, or other ownership interest) in a non-publicly traded entity (such as a start-up company)
- Any reimbursed or sponsored travel to the Investigator paid by an entity, including non-profit organizations, but excluding travel sponsored by or reimbursed by a government agency, a U.S. institution of higher education or a research institute affiliated with such, a medical center, or an academic teaching hospital.
- NOTE: A Significant Financial Interest does not include salary, royalties or other remuneration from Saint Louis University, your organization, or payments from governmental organizations for seminars, lectures, service on advisory committees or service on review panels.

INSTRUCTIONS: All individuals engaged in externally funded research are required to complete a Conflict of Interest Disclosure Form **PRIOR TO SUBMITTING A GRANT APPLICATION** if a current annual disclosure has not been completed. Please read and follow instructions for each section of this Disclosure Form. When you have completed the form, submit to the Division of Research Administration, c/o Johnny Kidd, Manager of Responsible Conduct of Research, Fusz Hall, Room 262, Saint Louis, MO 63108 or by e-mail COI@slu.edu

Any questions regarding the policy or completion of this disclosure form should be directed to Johnny Kidd, Manager of Responsible Conduct of Research at 314-977-7010 or jkidd2@slu.edu

SECTION I - CONTACT INFORMATION: Please fill out completely. Type.

SECTION II - PROJECT INFORMATION: Please fill out completely. Type.

SECTION III - Disclosure of Financial Interests: Please fill out completely. Type.

SECTION IV – Details of Significant Financial Interest; Please fill out completely. Type.

| Name of Entity | Type of Financial or Personal Interest <i>(check all that apply)</i> | Value Per Year | |
|----------------|--|------------------------|---|
| | | Previous Calendar Year | Current Calendar Year <i>(anticipated, if known)</i> |
| | <input type="checkbox"/> Consulting <input type="checkbox"/> Honoraria <input type="checkbox"/> Salary | | |

Travel Disclosure for Non-SLU Personnel

Instructions: Provide your name, Grant Title/Num, SLU PI, and complete the table below. E-mail a copy to Saint Louis University's Conflict of Interest at COI@slu.edu or fax to 314-977-2026.